

Application form



Read this before you start

This is a legally binding document between you and Stadia Trustees Limited.

It is part of a set of documents on our website, which you should read together:

- Key features
- Schedule of fees
- Terms and conditions

Member information

Important

Any information or supporting documentation not provided with the application form may result in a delay in setting up your Essential SIPP, or your application being rejected.

Please complete this form using black ink and BLOCK CAPITALS.

Scheme member's personal details

Title													
Surname													
Forename(s)													
Date of birth	/ /												
Nationality													
Gender													
Marital status													
National Insurance number	- - -												
Telephone number													
Email address													
Principal residential address													
If less than three months, please provide previous address:													
Financial dependents	<table border="1"> <thead> <tr> <th>Name</th> <th>Date of birth</th> <th>Relationship</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Name	Date of birth	Relationship									
	Name	Date of birth	Relationship										
Selected retirement age													
<i>If not completed, default SRA 75</i>													

Source of funds – must be completed for all applications

Please tick one or more of the following that you intend to use to fund your SIPP.

If a regular contribution is being made, please complete the SOM (Standing Order Mandate) which can be found on our website (www.essentialstipp.com).

- Transfer(s) from existing pension scheme(s)
- Personal contributions
- Contributions from your employer
- Contributions from another third party

Name of third party

Relationship of third party

- Other *please give details below*

Member's status

Please indicate below which of these apply to you.

Please tick one box only

- Employed
- Receiving a pension income
- Self-employed
- Other *please give details below*

Member's declaration

Important

Before signing the declaration, you should carefully read the following for your own benefit and protection:

- This declaration
- The Key Features for the Essential SIPP
- Schedule of fees
- Terms and conditions

Taken together, these documents form the agreement which Stadia Trustees Limited will rely on. If there is anything you don't understand, then please ask either your financial adviser or Stadia Trustees Limited for further information.

Data Protection statement

We, Stadia Trustees Limited, take your privacy very seriously. We use personal information collected via this form and any other information you provide us for the purposes of:

- providing you with our products and services, and dealing with your enquiries and requests
- administering your plan, including the payment of benefits
- carrying out market research, statistical analysis and customer profiling
- sending you information (by post, telephone or email) about our products and services.

By signing below, you agree to receive the information described, unless you tell us otherwise by ticking this box.

We may need to transfer your information to countries outside the European Economic Area to provide our services to you.

We will disclose your information to other companies, such as banks, investment managers and fund providers that are appointed to act for your SIPP, regulatory bodies, law enforcement agencies, current and future owners of our business and suppliers we engage to process data on our behalf.

Cancellation notice

Read these points

Stadia Trustees Limited provide a statutory 30-day cancellation/cooling-off period, during which you can cancel your SIPP application without incurring costs.

If you wish to invest contributions or transfers into the scheme immediately, you will need to waive this right.

I confirm that I wish to waive my rights to my statutory 30-day cooling-off period for contributions or transfers to my SIPP so that they can be fully processed without delay.

I fully understand the implications of waiving the cancellation/cooling-off period, ie if Stadia Trustees Limited do not have this waiver they will pay the funds into the SIPP account, but will not do anything with them – such as making investments or processing retirement benefits until the 30 days have expired or they have received notice from me to cancel the application.

Please tick this box only if you
DO NOT WISH TO WAIVE your
cancellation rights.

Sign and date here

Please sign below to confirm that you have read and understood the above.

Signature of member

Date

Important

When complete, please return your application form together with the following documents:

- Cheque for initial contribution (if applicable)
- Marriage certificate (if recent change of name)
- Birth certificate (original certified copy)
- Address verification (original certified copy issued within the last three months – not mobile phone bill)
- Photo ID (original certified copy, eg passport or photo-card driving licence)

We'll need the original application form so that we can set up your Stadia Trustees Limited Essential SIPP.

Transfer details

Important

If you are transferring from more than one scheme, you should complete a separate copy of this section for each additional scheme.

Scheme details

Full name of scheme to be transferred	
Type of scheme being transferred <i>e.g. PP, EPP, SIPP, SSAS, etc:</i>	
Estimated transfer value for:	Non-protected rights benefits £
	Protected rights benefits £
Details of scheme administrator / trustee / insurance company / other pension provider	Name
	Address
Policy/account number <i>if applicable</i>	
Which of the following statements will apply at the time of the transfer to your Stadia Trustees Limited Essential SIPP?	<input type="checkbox"/> None within the scheme has begun paying benefits (uncrystallised)
	<input type="checkbox"/> Some within the scheme have begun paying benefits (crystallised)

Important

This application form can only be used for the uncrystallised part of your scheme. If you also wish to transfer the crystallised part, please request an additional application form.

Assets to be transferred

Please indicate which type of assets apply to your transfer	<input type="checkbox"/> The transfer payment will consist solely of cash
	<input type="checkbox"/> A property or properties (or an interest in a property or properties) is to be transferred
	<input type="checkbox"/> Other assets are to be transferred in specie (in specie transfers involve transferring share and other assets without selling them. Please make sure that the current scheme administrator permits this).

Declaration and signature for transfers

Read these points

- I declare that, to the best of my knowledge and belief, the statements made are correct and complete.
- I understand that, in line with the terms and conditions, the ceding scheme provider may charge a fee to transfer the above scheme.
- I agree to Stadia Trustees Limited obtaining details from the administrator/trustee or insurance company or other pensions provider of any scheme, contract or arrangement I am or have been a member of in connection with the transfer. I authorise giving these details to Stadia Trustees Limited.
- While Stadia Trustees Limited will aim to ask for transfers on time, I understand that they are not responsible for the timely completion of the transfer.
- I understand that Stadia Trustees Limited will not request any in specie transfer until all the information requested in the in specie transfer schedule has been provided and any necessary account with an investment manager or execution-only broker has been established.

Sign and date here

Name of member:

Signature of member

Date:

- If you are applying through a Professional Advisor, please complete the advisor declaration which can be found on our website (www.essentialsipp.com).
- Alternatively, if you have not sought any professional financial advice please complete the appropriateness test which can be found on our website (www.essentialsipp.com)